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11-17-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KOBAYASHI, et al.

Serial No: 10/034,192

Filed: December 26, 2001

For: Device Environment Configuration  
System, Device Environment  
Configuration Method, and Data  
Storage Medium Therefor

Art Unit: 2161

Examiner: Not Assigned

I hereby certify that this correspondence  
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**TRANSMITTAL OF INFORMATION  
DISCLOSURE STATEMENT**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**GROUP 3600**

Dear Sirs:

- ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
- ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification

after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**OR FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). **[OR:]** Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

**OR**

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**AND FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 3 reference(s) is in the enclosed Form PTO-1449.

NON-ENGLISH LANGUAGE REFERENCES

- ☒ Enclosed is a communication for a counterpart application.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Date: October 31, 2003

Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Los Angeles, CA 90071  
Telephone: (213) 337-6700  
Facsimile: (213) 337-6701

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By: 

Anthony J. Orlor  
Registration No. 41,232  
Attorney for Applicant(s)

*(Use several sheets if necessary)*

**Applicati n Numb r**  
10/034.192

KOBAYASHI, et al.

**Group Art Unit** 2161

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| FOREIGN PATENT DOCUMENTS |                 |          |         |       |          |             |    |
|--------------------------|-----------------|----------|---------|-------|----------|-------------|----|
|                          | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | Translation |    |
|                          |                 |          |         |       |          | YES         | NO |
|                          | 0 421 615       | 09/11/90 | EPO     |       |          | X           |    |
|                          | 95/17715        | 06/29/95 | WO      |       |          | X           |    |
|                          |                 |          |         |       |          |             |    |
|                          |                 |          |         |       |          |             |    |
|                          |                 |          |         |       |          |             |    |

|  |              |
|--|--------------|
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |              |
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